

GUIDANCE DOCUMENT ON EIA CONSULTANT REGISTRATION SCHEME

DEPARTMENT OF ENVIRONMENT MALAYSIA MINISTRY OF ENERGY, SCIENCE, TECHNOLOGY, ENVIRONMENT & CLIMATE CHANGE (MESTECC)

YEAR 2019

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ABBREVIATION

CPD Continuous Professional Development

CePEIA Certified Environmental Professional in EIA

CePIETSO (BP) Certified Environmental Professional in The Operation of

Industrial Effluent Treatment Systems (Biological Processes -

Activated Sludge Process)

CePIETSO Certified Environmental Professional in the Operation of Industrial

(PCP) Effluent Treatment Systems (Physical Chemical Processes)

CePBFO Certified Environmental Professional in Bag Filter Operation

CePSO Certified Environmental Professional in Scrubber Operation

CePSTPO Certified Environmental Professional in Sewage Treatment Plant

Operation

CePSWaM Certified Environmental Professional in Scheduled Waste

Management

CePPOME Certified Environmental Professional in the Treatment of Palm Oil

Mill Effluent

CPESC Certified Professional in Erosion and Sediment Control

CePLTPO Certified Environmental Professional in Leachate Treatment Plant

Operation

CESSWI Certified Erosion, Sediment and Storm Water Inspector

CiSEC Certified Inspector of Sediment and Erosion Control

CLM Contaminated Land Management

DOE Department of Environment, Malaysia

EiMAS Environment Institute of Malaysia

EQA Environmental Quality Act 1974

EIA Environmental Impact Assessment

LDP2M2 Land Disturbance Prevention Pollution Mitigating Measures

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1.0 INTRODUCTION

As required under Section 34A (2), Environmental Quality Act 1974 the section emphasises on the requirement which specifies that any person intending to carry out any prescribed activity shall appoint a QUALIFIED PERSON to conduct an environmental impact assessment study and to submit a report thereof to the Director General in the manner as the Director General may describe. The Department of Environment (DOE) is committed to raising the standards of professional knowledge and skills in the area of environmental impact assessment. This document provides guidance to assist the applicant to assess their eligibility for successful registration and complete the necessary registration requirements.

2.0 WHY REGISTER

2.1 Legal Requirement

As of 1st June 2007, any individual intending to be involved in any EIA study must be registered under the EIA Consultant Registration Scheme. Under Environmental Quality Act 1974. Only the qualified person which register with DOE are allow to conduct an Environmental Impact Assessment study (EIA) in Malaysia. Those who not registered under this scheme will not allow to conduct any EIA study.

2.2 Purpose and Benefits of Registration

This registration scheme intends to improve the standard of professionalism among the EIA consultants by ensuring that they have the minimum qualifications and skills required to conduct the EIA study. It also provides an efficient and effective means by which developers, and consultancies can demonstrate to the interested parties that their individual staff are professional, adequately qualified, trained and experienced. The registration scheme assists in establish a career path for those involved in the EIA field.

3.0 REGISTRATION CATEGORIES

The registration scheme is open to any qualified individual. There are three (3) categories of registration under this scheme, namely the Assistant Consultant, Certified Environmental Professional in EIA (CePEIA) and Subject Consultant. Applicants may only apply for the specific category which appropriate with their academic qualifications, skills and experiences.

3.1 Categories of Registration

3.1.1 Assistant Consultant

A person who is registered as Assistant Consultant is consider has a good knowledge in EIA study and procedure. Assistant consultants are individuals involved in EIA studies at the trainee level. Assistant Consultants must work under the supervision of a registered CePEIA or Subject Consultant.

3.1.2 Certified Environmental Professional in EIA (CePEIA)

CePEIA is an experienced individual who has been actively participating in the EIA study. A person that certified as CePEIA is considered to have deep knowledge and skills in the field of EIA study and understand the environmental regulation. A person who is registered as CePEIA has the ability to obtain a good quality of EIA reports.

3.1.3 Subject Consultant

A person who is registered as a subject consultant is considered to have profound knowledge, possessing extensive expertise and experience in the specific field of study or also recognized by an accredited body. A person who is registered as a subject specialist has the ability to obtain a good finding in EIA study according to his or her expertise.

3.2 Area of Expertise

3.2.1 Assitant Consultant

Assistant consultant will not be registered in any area of expertise. Assistant Consultant career development will be depends on the training he/she obtained under the supervision of CePEIA

3.2.2 CePEIA

There are three functional areas are offered under the registration categories of Certified Environmental Professional In Environmental Impact Assessment (CePEIA) as shown in table 1.0. Application for CePEIA are allowed to choose maximum TWO (2) functional area only. The areas of expertise must be suit with the academic qualifications, skills and experiences. The Registration Committee could decide and advise on the areas of expertise that may suitable to the applicant. Evidence in the form of verified certificates must be submitted with the application. Applicant must obtain sufficient formal training in the areas of expertise which selected to ensure sufficient knowledge, skills and experience.

Table 1.0 Area of Expertise

Functional Area	Area of Expertise	Scope of Studies	
Water Pollution Control	Water Quality Monitoring	Water quality monitoring and baseline assessment.	
	Water Quality Modelling	Water quality modeling	
	Soil Erosion And Sedimentation	 Soil Erosion and Sedimentation analysis. Design Land Disturbance Pollution Prevention and Mitigation Measure (LDP2M2) Plan. Hydrology 	
	Water Pollution Control Technology (Sewage / Leachate / Effluent)	Design of waste water treatment plant.Performance monitoring.	
	Air Monitoring	Air quality monitoring and baseline assessment.	
	Air Quality Modelling	Air quality modeling	
	Air Pollution Control Technology	Design of air pollution controlPerformance monitoring	
Air Pollution Control	Noise and Vibration Monitoring	Noise monitoring and baseline assessment	
Control	Noise and Vibration Quality Modelling	Noise quality modeling	
	Noise and Vibration Pollution Control Technology	Noise pollution control technology and design	
	Risk Assessment	 Qualitative and Quantitative Risk Assessment 	
Waste	Contaminated Land Monitoring	Contaminated land monitoring	

Management	Process Recovery Of Schedule Waste	Recovery technology and design		
	Schedule Waste Pollution Control Technology	•	Toxic and hazardous waste control technology and design	
	Schedule waste management • Handling and managing sche		Handling and managing schedule waste	
	Solid waste Solid waste characterization study		Solid waste characterization study	
		Biomass management		
		•	Solid waste management	
		•	Landfill design	

3.2.3Area of Expertise for Subject Consultants

Subject Consultant is allowed to choose only ONE area of expertise. For areas which are not listed in table 2.0, applicant may also apply for the registration. However, approval will subject to academic qualification, training and experience of the applicant.

Table 2.0 Area of Expertise and Subject Consultants

Area of Expertise	Scope of Studies
	• Soil
Geology	Geotechnical
Geology	Environmental Geology
	• Geology
Land	Land use
Land	Geology
	Fish & Invertebrates
	Macrobenthos
Aquatic Ecology	Phytoplankton
Aquatic Ecology	Zooplankton
	Aquatic Insects
	Macrophytes
	Forest Management
Forestry	Terrestrial Ecology
	Mangrove
Terrestrial Fauna	Mammals
	Amphibian
	Reptiles
	• Insects
	Avifauna
	Social Impact Assessment
Others	Health Impact Assessment
Outors	Marine Traffic Assessment
	Marine Risk Assessment

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Area of Expertise	Scope of Studies	
	Fishery Impact Assessment	
	Traffic Impact Assessment	
	Radiological Impact Assessment	

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4.0 ROLES AND RESPONSIBILITIES IN EIA STUDIES

The roles and responsibilities of the Assistant Consultant, CePEIA, Subject Consultant and Project Developer are described in this section. A team leader who is CePEIA registered, must be appoint in every EIA study. The EIA study team must comprise at least TWO (2) CePEIA which is related to the scope of EIA study and any number of Subject Consultant.

4.1 Certified Environmental Professional in EIA(CePEIA)

CePEIA is the key person who is entrusted with the responsibilities for ensuring environmental impacts from a project are correctly identified, assesses and mitigated. The roles and core duties of CePEIA include the following:

- i. Preparing the Terms of Reference (TOR) for EIA study of a project proposal;
- Performing quality control (QC) to ensure the quality of EIA Report meets the requirements of DOE and any specific Environmental Impact Assessment Guidelines;
- iii. Preparing and defending the EIA Report of the project
- iv. Advise on the steps and actions to mitigate potential or existing negative impacts of the activities;
- v. Ensure the report and recommendations do not contain any false or misleading information.

4.2 Subject Consultant

Subject Consultant is a person who is an expert or an experienced professional in a specific field and has a wide knowledge of the **subject** matter. Duties of Subject Consultant include the following tasks:

 i. Conducting the specific studies and/or assessment due to his/her subject specialization based on the scope of study orapproved TOR;

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- ii. Preparing and defending the EIA report especially on the specific fields of the subject matter he/her specialized.
- iii. Ensure the report and recommendations do not contain any false or misleading information.

4.3 Assistant Consultant

Assistant Consultant must carry out the task under the supervision of CePEIA or Subject Consultant. Duties of Assistant Consultant include the following tasks:

- To assist in preparing the Terms of Reference (TOR) for EIA study of a project proposal;
- ii. To assist in preparing the EIA Report of a project proposal
- iii. Conducting sampling and data collection for the EIA Study; and

4.4 EIA Team Leader

Only CePEIAs with <u>more than 5 years' experience</u> are qualified to be appointed as study team leader. Team leader, as a competent and experienced CePEIA must be able to provide guidance for the team members on the direction of EIA study or assessment required and conduct the overall check with regards to the adequacy and the quality of the report. The team leader will have the following tasks:

- To select the team members. Member must be selected based on the expertise required related with the type of impact from the project activity. The team leader is responsible for ensuring the selected team members are sufficiently competent to conduct the relevant study;
- ii. To lead the scoping exercise for the proposed study. Scoping exercise shall include thorough discussion with all team members and other interested parties;
- iii. To coordinate and assign tasks to team members; and
- iv. To be responsible over the quality control aspects of the report, in terms of information coordination and report presentation.

The task of the EIA team leader is included in the para 4.1

4.5 EIA Team Members

All EIA study team members are responsible for the contents of the EIA reports. However, the team leader must supervise the work produced by the team. Editing of the report, in terms of language and clarity may be undertaken by any team member deemed to be competent.

4.6 Project Proponents

Project Proponents shall carefully appoint registered consultants to conduct EIA study. Selection shall be based on competency and experience of the consultant. Project Proponent shall provide relevant specific information about his proposal e.g.; proposed project concept and specific or unique industrial process to assist the study team. Any information that may influence the assessment and study findings must be provided. The project proponents shall read the report and acknowledge that he or she understands and agrees with the proposed mitigating measures indicated. The developer however, shall never influence the study findings.

5.0 HOW TO RESGISTER

5.1 New Registration

This section contains the registration requirements for the Assistant Consultant, CePEIA, and Subject Consultant. Table 3.0 present the details requirements for the registration. The Applicant may apply by using application form as attached in Appendix 1.

Table 3.0 Registration requirements for Assistant Consultant, CePEIA and Subject Consultant

Criteria	Assistant Consultant	CePEIA	Subject Consultant
General Requirement	Has attended the Induction Course organised by EIMAS and passed examination.	Has attended the Induction Course organised by EIMAS and passed the Induction Course examination.	Not Applicable
Attend the Course Code of Ethics	-	Required	Required
Academic Qualification	Diploma in Science, Technology or Engineering Degree in Science, Technology or Engineering. Applicants with other non-technical or Science degree may only work within their academic specialization	Minimum degree of university qualification recognized under the MQA (Malaysia Qualification Agency) that covers the three (3) functional areas namely: 1. Air Pollution Control 2. Water Pollution Control 3. Waste Management. Further information verifying the 3 functional area must be provided (e.g. transcript or academic record).	Degree with minimum 7 years of working experience in the selected field OR Master Degree with minimum 5 years of working experience in the selected field OR PhD with minimum 3 years of working experience in the selected field
Environmental Management	Minimum 1 year experience in Environmental Management	Minimum 7 years' experience The experience may include any of the following: Participation in TOR preparation for EIA • Participation in EIA study • Participation in Environmental	Not required

Criteria	Assistant Consultant	CePEIA	Subject Consultant
Years in EIA Experience	Not required	Assessment or Site Assessment Participation in preparation of EMP Involvement in preparation of any EIA guidance documents or any other environmental and technical guidelines Study or research related to the consultant's expertise Participation in preparation of Land Disturbance Prevention Pollution Mitigating Measures (LDP2M2) Participation in environmental modeling Participation in environmental statistic Participation in environmental monitoring Participation in any environmental discipline Minimum 5 years experience in EIA	Not required
Minimum number of Completed EIA Reports	Not required	5 reports	Not required
Core competencies	Attendance of formal EIA training (evidence to be provided) Basic understanding of EIA processes (e.g. scoping, screening, impact assessment, mitigating measures, monitoring, report writing, preparation of EMP, project management skills Basic understanding of environmental & planning regulations in Malaysia.	Attendance of formal EIA training (evidence to be provided) Sufficient understanding of EIA processes (e.g. scoping, screening, impact assessment, mitigating measures, monitoring, report writing, preparation of EMP, project management skills Sufficient understanding of environmental & planning regulations in Malaysia. Note: Evidence on the expertise (core competencies) in the form of certificates or examination results must be submitted together.	Must be highly competent in the selected field (evidence to be provided). Subject Consultant must be able to perform detailed study and detailed assessment which may include modelling

5.2 Renewal Registration

This section contains the requirements for renewal registration for CePEIA and Subject Consultant. Assistant consultant is not required to renew their registration. Although, they can be deregistered if they fail to adhere Code of Practice. Assistant Consultants are encouraged to enhance and improve their knowledge and skill by attending the formal courses and trainings that organized by recognized body.

Renewal of registration will not be granted, unless all requirements for renewal are fulfilled. However, final decision will be made by the Registration Committee appointed by the Director General of Environment. Application for Renewal must be made three (3) months before the expiry date of the registration. Failure to renew the application of CePEIA and Subject Consultant, will cause the termination of registration. Continuing Professional Development must be recorded in log book/log sheet (Refer Appendix 5: CPD Log Sheet) and also be recorded with the National Registry of Certified Environmental Professionals (NRCEP) Scheme. Table 4.0 present the renewal requirements for the CePEIA and Subject Consultant.

Table 4.0 Requirements for Renewal

Requirements for	CePEIA	Subject Consultant	Assistant
Renewal			Consultant
Renewal	Every three years	Every three years	
Minimum number of actual EIA reports to be submitted every year.	Not Required	Not Required	
Minimum number of EIA Qualifying Experience in 3 years	3 years	Not Required	
Continuos Professional Development, CPD	50 hours per year	20 hours per year	Not Required
Continuous Evaluation Process	Demonstrate Satisfactory performance	Demonstrate satisfactory performance	
Renewal fee	RM 300	RM300	

5.2.1 EIA Qualifying Experience

EIA qualifying experience is required to confirm that a consultant has sufficient experience to be registered as a qualified person. Acceptable activities qualifying for EIA experiences are:

- i. Participation in TOR preparation for EIA;
- ii. Participation in EIA study;
- iii. Participation in Environmental Assessment or Site Assessment;
- iv. Participation in preparation of Environmental Management Plan (EMP);
- v. Involvement in preparation of any EIA guidance documents or any other environmental and technical guidelines; and
- vi. Study or research related to the consultant's expertise

All EIA qualifying experiences must be recorded in EIA Log Sheet (Appendix 6) for the new and renewal applications.

5.2.2 Commitment to Continuing Professional Development (CPD)

Continuing professional development is important because it ensures the individuals continue to be competent in their profession. It is an ongoing process and continues throughout a professional's career. Registered individuals should develop their personal attributes and skills by:

- i. Ensuring that their knowledge of EIA and impact assessment techniques are the current best practice;
- ii. Ensuring that their knowledge of environmental laws, regulations and procedures are current;
- iii. Undertaking refresher training where necessary; and
- iv. Ensuring that their experience in the execution of relevant EIA works are current and maintained

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Generally, there are five (5) categories of activities accepted for CPD:

- i) Related Structured Training
- ii) Related Meetings
- iii) Coducting Training
- iv) Publications
- v) Self Study

Course, workshop and training providers must obtain approval from DOE for CPD hours. CePEIA and Subject consultant should refer to the latest Guidelines on Continuing Professional Development (CPD), published by DOE for further clarification.. Application for attend training programs organised by EiMAS could be downloaded from DOE or EiMAS website. Further information refer to the CPD guidelines for EIA consultant.

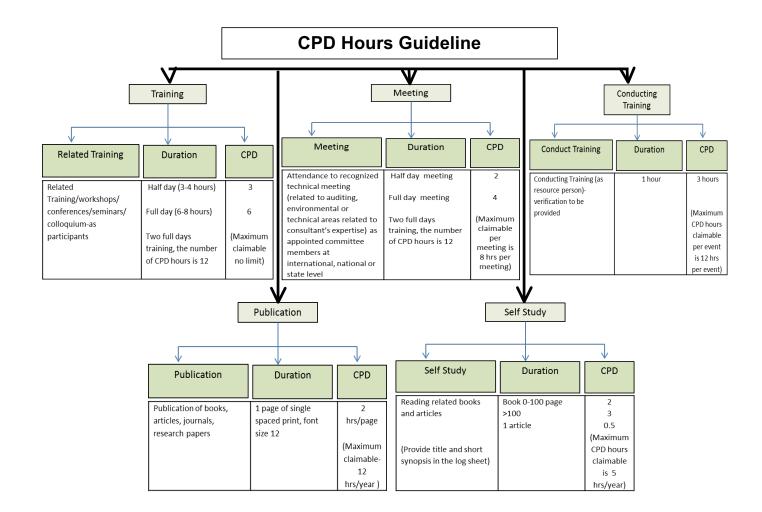


Figure 1.0 CPD Hours Guideline

5.2.3 Continuous Evaluation Process

Continuous Evaluation Process is introduced with the objective to provide constant evaluation on the consultants' performance. Desk officers (DOE officers) for the EIA report will provide their comments on study team members' performance. To ensure fair and uniform assessment, all EIA processing officers will be trained to carry out the evaluation using a set of criteria and guidelines. All comments will be channeled to the Secretariat, and will be used for renewal purpose. Refer to Appendix 7- Continuous Evaluation Process form.

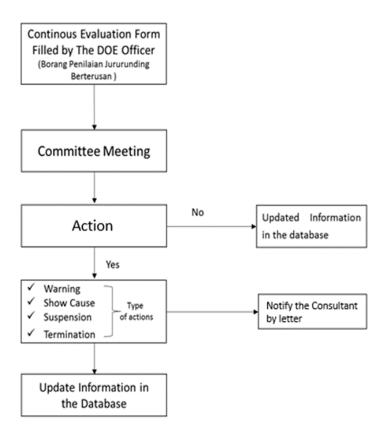


Figure 2.0 Continues Evaluation

5.3 Registration Upgrade

All registered individuals are required to keep personal log of relevant experience and training, which must be either signed by their employer or client, or be substantiated by documentary proof. Any Assistant Consultant which is meet the requirement to upgrade their registration to the CePEIA need to make new application under the CePEIA category.

5.4 Registration Documents

Further information to support the registration are required. The evidence shall be in the form Portfolio of Work, Referee Assessment (at least two referees), detailed curriculum vitae, publications, citations, reports, etc. that the candidate is respected, competent, ethical and active member of the profession.

5.4.1 Portfolio of Work

Applicants for the CePEIA category must submit a Portfolio of Work including five of the most relevant EIA reports previously. The portfolio must be submitted according to the format provided in Appendix 2: Portfolio of Work for EIA Consultants. The portfolio must highlight the selected areas of expertise. Subject Consultants are also required to submit a Portfolio of Work indicating the work they have been involved related to the field of expertise. Format for Portfolio of Work is provided in Appendix 3: Portfolio of Work for Subject Consultant. Subject Consultant may provide relevant section or summary of his work. Submission of Portfolio of Work is optional for Assistant Consultant.

5.4.2 Referee Reports

Referee reports must cover the applicant's skill and attributes as they are specifically related to EIA practice, ethics and professional integrity, and must be completed on the official DOE Referee Assessment Form available at the DOE's website. Applicants will need to fill in basic information in Appendix 4 Form B: Referee Assessment and submit it to the referee. The referee is required to submit the completed Form B directly to the DOE. The applicants must include information on referees' qualification, employment and relationship to the applicant.

i. Academic Qualifications

Evidence of the educational qualification certified true copies is required. Below are the suggested authorized signatories:

- i. Group "A" Government Officer; or
- ii. Lawyer

ii. Detailed Curriculum Vitae (CV)

The applicants must provide CV that shows detailed and describe experience, roles and responsibilities, skills, outcomes, achievements, citations, presentations, etc.

iii. Statutory Declaration

All applicants are required to sign a statutory declaration that the materials they have provided are accurate and complete in the presence of an appropriately authorised witness authority (Please refer Appendix 1).

5.5 Registration Fees

The processing fee will not be refunded if the applicant fails to meet the criteria for a particular category. The Registration Committee will record details of the application in the EIA database.

Table 5.0 Registration Fee Structure

CATEGORY	REGISTRATION FEE	RENEWAL
EIA Consultant	RM 3500	RM 300/year
Subject Consultant	RM 300	RM 300/year
Assistant Consultant	RM 400	Not required

Note:

- a) CePEIA shall pay RM 3500 one off for the registration course.
- b) Payment in the form of cheque/Money Order/ Poster Order must be made payable to the Director General, Department of Environment.
- c) Applicant for CePEIA and Subject Consultant category shall pay the fee for both categories; however, application may be submitted in the same form. For portfolio of work, applicant may choose to submit two separate documents or one single document.
- d) Registration fees are subject to change as determined by the Committee.

5.6 Confidentiality

All information and material submitted to the DOE is confidential. However, for the purpose of ensuring the provision of correct information to potential users, such as project developers, the DOE may publish relevant information on the registration scheme's website.

5.7 Professional Indemnity Insurance

DOE encourages all registered individuals to obtain their Professional Indemnity Insurance. Professional indemnity insurance, often referred to as professional liability insurance or PI insurance, covers legal costs and expenses incurred in your defense, as well as any damages or costs that may be awarded, if consultants are alleged to have provided inadequate advice, services or designs that cause client to lose money.

5.8 Registration Process

The application shall be sought and process in batches. The Department of Environment shall announce the opening and closing date of the registration in the website. The applicant shall ensure that all parts in the Application Form (refer to Appendix I) are completed and signed where indicated. Failure to follow the requirements may lead to the delay in the processing of the application. Download the relevant form from DOE website and send the hardcopy to the address below together with the:

Secretariat

EIA Consultants Registration Scheme

Assessment Division, Department of Environment

Level 2, Podium 3, Wisma Sumber Asli

No. 25, Persiaran Perdana, Precinct 4

Tel:03 - 8871 2000, Fax:03 - 8889 1045

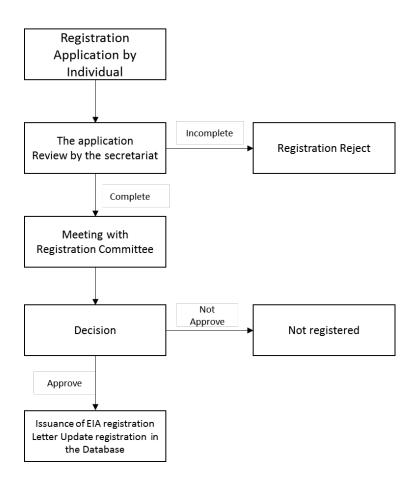


Figure 3.0 Registration Process Flow

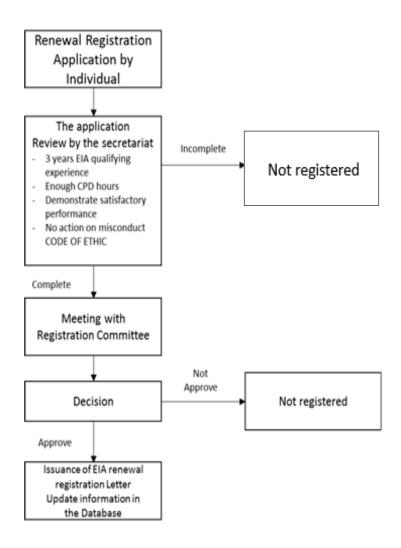


Figure 4.0 Renewal Process Flow

5.9 Referees

Applicant must nominate **TWO (2)** environmental professionals who are willing to act as referees. To increase the chance of successful registration, the applicant must carefully select the referees. The referees should be familiar with the applicant's skill and attributes, and can verify his/her capabilities, skills, knowledge and experience. As a general guideline, suitable referees can be individuals that:

- i. Have good reputation in the field of environmental management;
- ii. Were the applicant's previous employer or supervisor, preferably in the area of environmental management or the area of his expertise;
- iii. Field that the applicant's current employer or supervisor; and
- iv. Have known the applicant professionally for a minimum of three (3) years.

The two nominees are required to complete the Form B: Referee Assessments (see Part O)

5.10 Registration Committee

Secretariat for EIA Registration Scheme should be referred to the EIA Competency Section in Assessment Division, DOE Putrajaya. The Registration Committee shall administer the registration activities efficiently, professionally and transparently. The following section explains the duty of the committee.

5.10.1 Administration & Finance Committee

The committee will be responsible on the followings:

- General administration of registration process,
- Assessment of the application related to experience, proficiency and ethical conduct;
 and
- iii. To determine the registration fees.

5.10.2 Examination, Interview and Training Committee

Examination, Interview and Training Committee will be chaired by Director of EiMAS. The member of the committees is appointed from Subject Matter Expert.

- i. Prepare and conduct the Induction and Code of Ethic training module;
- ii. Promote the Continues Learning and Training

5.10.3 Registration, renewal and Appeal Committee

- i. Make recommendations for issuance of certificates;
- ii. Review renewal application, committee may refuse to renew if applicants failed to satisfy any conditions as determined;
- Consider and decide whether applicant appeals have established grounds for appeal according to procedures;
- iv. Gather evidence and to decide, on the basis of information, whether an appeal should be upheld or rejected.

5.11 Post Nominal

Upon registration, the CePEIA registration number "CePEIA xxxx" shall follow the Consultant's name to indicate his/her recognised of professional proficiency in environmental impact assessment.

5.12 Appeal

In cases where application is not approved, the applicants could appeal by in writing a formal letter to the secretariat. The appeal must be received by the Secretariat within **1 MONTH** from the date of rejection letter issued by the DOE.

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6.0 CODE OF PRACTICE

All applicant must sign and agree to abide by Code of Practice, which is designed to ensure that the registered individuals act in an ethical and professional manner. All registered individuals shall comply and adhere to the following Code of Practice elements.

6.1 Elements of Code of Practice

Code of Practice include the following elements:

- i. Upholding of the Environmental Principles
- ii. Integrity
- iii. Professionalism
- iv. Competency

All applicants must sign and agree to abide by the Code of Practice, which is designed to ensure that registered individuals act in an ethical and professional manner. All registered individuals shall comply and adhere to the elements outlined below:

6.1.1 Upholding of the Environmental Principles

Advocate:

- The integrity of the natural environment and the health, safety and welfare of the Malaysian community and future generations as being central to environmental practice;
- ii. The protection of environmental values and the mitigation of environmental harm, based on objective scientific and technical knowledge; and
- iii. The environmental practice to uphold the Malaysian National Policy on Environment.

6.1.2 Integrity

i. Honest, trustworthy and not to accept and/or offer any inducement, commission, gift or any other benefit from any party;

- ii. Respect obligations of confidentiality and privacy in accordance to the Malaysian laws;
- iii. Avoid or manage any conflicts of interest, and make all relevant parties aware when there is such a conflict.

6.1.3 Professionalism

- i. Promote and provide leadership in the adoption of high standards of environmental practice consistent with the Malaysian National Policy on Environment;
- ii. Contribute to the development and maintenance of knowledge on environmental practice and standards of professional competence;
- iii. Support others in their development as environmental practitioners; and
- iv. Shall not advertise or represent services, or those of another, in a way that may bring discredit to the profession in any manner.

6.1.4 Competency

- i. Shall only practice and offer services in functional areas and specializations in which one is appropriately qualified, experienced and competent;
- ii. Shall comply with all applicable governing laws and statutory requirements, and actively discourage non-compliance by others;
- iii. Respect the contribution of other professionals and collaborate in multi-disciplinary approaches;
- iv. Shall be diligent in practice, providing accurate, up-to-date, objective, impartial and unbiased advice;
- v. Be prepared to explain work and conclusions drawn and provide the evidence on which the work is based; and
- v. Continuously update and develop skills including that of subordinates through relevant professional development as a basis for competent practice.

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6.2 Managing Breach of Code of Practice

The process of managing any possible breaches the Code of Practice by its registered member is detailed out in figure 5.0. DOE will pro-actively respond to all formal complaints lodged regarding possible or alleged breaches of the Code of Practice by registered consultants. Complaint could be received from continues evaluation form filled by DOE officer, formal email and letter. Every formal complaint lodged regarding the possible or alleged breaches of the Code of Practice will be investigated by a special investigative team set up by the DOE which shall conduct the investigation swiftly and free from bias. As a general rule, it is important to remember that no registered consultant should be dismissed or punished for misconduct unless the registered member's concern has been given an opportunity to defend himself/herself or an opportunity to be heard.

6.3 Issuance of a Show Cause Letter

The following guidelines only deal with managing alleged breaches of the Code of Practice.

- i. If an investigation reveals that there are merits to such complaints of possible or alleged breaches of the Code of Practice by its registered consutants, the DOE shall issue a show cause letter to the consultants and such letter shall state the allegations of the misconduct clearly and precisely. This letter is to call for an explanation for the alleged misconduct and to allow the registered member to defend or explain the situation, as well as to state why the registered member believes disciplinary action should not be taken. A timeline to respond to such letter must be stipulated in the show cause letter.
- ii. If the explanation is acceptable or satisfactory, no punishment should be imposed. However, if the registered member fails to respond and/or if the explanation is unacceptable, the DOE can either (a) take disciplinary action; or (b) if it feels the allegations are serious enough to warrant it, issue a notice of a domestic inquiry.

6.4 Issuance of Notice for Domestic Inquiry

The Notice of Domestic Inquiry should comprise the following

- Specific charge which states the type of offence, the date, the time and place where the offence took place;
- ii. Details of the domestic inquiry (ie: date, time and place); and
- iii. Inform the registered member his/her right to bring along witnesses or any documentary evidence, if any.

6.5 Deregistration and Suspension

Registered individuals can be deregistered or suspended if they fail to abide with the Code of Practice or fail to fulfil the minimum requirements of CPD and qualifying EIA experience or other reasonable grounds. The committee set up under the Registration Scheme shall make the final decision. In a case of deregistration, individual can apply new registration after two years of the issuance of the deregistration letter. Any CePEIA and Subject Consultant that fails to renew within two (2) years after expiry, shall be removed from the system and required to new registration. Suspension under this scheme could be revoke only if the Director General is satisfied with the corrective action made to the incompliance to the Scheme for Certified EIA Consultant in Malaysia.

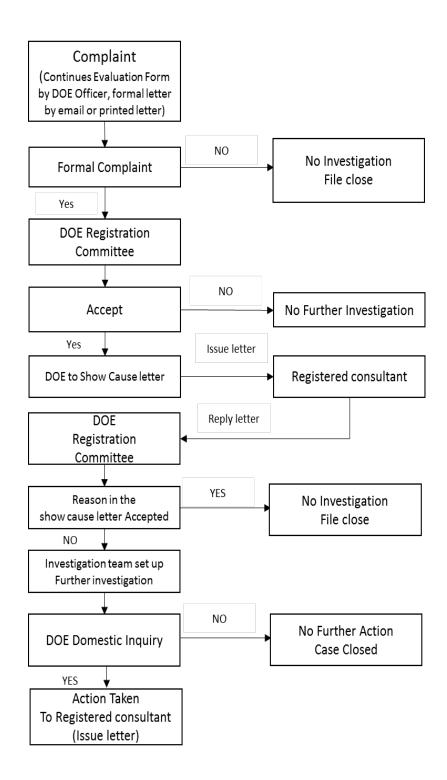


Figure 5.0 Process of Domestic Inquiry

6.6 Appointment of Panel for Domestic Inquiry

The panel members must consist (odd number of persons) of people who are neither directly nor indirectly connected to the matter. Panel members should be employees of DOE who are, in comparison, of a higher rank or seniority than the registered member. To ensure that the domestic inquiry is conducted adequately, the chairman of the panel should have some knowledge of the domestic inquiry process. A prosecutor shall also be appointed from the DOE which shall be from the DOE investigative team.

The domestic inquiry proceedings shall be carried out similar to a court trial which comprises the following stages applicable to the DOE and the registered member:

- · Examination-in-chief;
- · Cross-Examination; and
- Re-Examination

At the conclusion of the hearing, the panel will discuss and study the evidence given by both parties. Thereafter, the panel will make a finding as to whether the registered member is guilty of the misconduct. If the registered member is guilty, the panel may decide on which disciplinary action should be taken, including but not limited to:-

- i. Suspension of Membership; or
- ii. Expulsion.

In order to ensure the legality of any punishments imposed on any registered members pursuant to such proceedings, it is recommended that such punishments to be expressly stipulated under the proposed regulations to be enacted pursuant to the EQA 1974 to govern the conduct of its registered consultant.

APPENDIX 1: FORM /VER2/MAC2019



Department Of Environment Ministry Of Natural Resources and Environment Level 1-4, Podium 2&3, No 25, Persiaran Perdana, Presint 4 62574 W.P. PUTRAJAYA

APPLICATION FORM FOR CONSULTANT

Recent Photo

Instructions to applicants

- I. All information must be typed.
- II. Application letter to doe III. Recent photo (passport size)
- IV. All documents must be proper binding
- V. Please enclosed a copy of the verified supporting document.
- VI. Only complete applications will be processed.

For Administration Use Only (DOE)		
Application	QPEIA	
Number		
Status :		
Complete	[]	
Not Complete	li i	
Not Complete	L J	

TYPE OF APPLICATION		
[] New	If applicant has been registered under assistant consultant, please fill in Registered No:	
D 1 (1 1 ()		

Please tick ($\sqrt{}$) one

SECTION A – APPLICANT'S INFORMATION			
Name			
Title (Prof, Dr., Mr., Mrs., Ms)			
Identification Card Number/Passport number			
Nationality			
Date of Issue *(Passport holder)			
Place of Issue *(Passport holder)			
Address			
Please (√)the address used for correspondence (Letter, certificate etc)			
[] Home Address	[] Company Address		
Mailing Address:	Mailing Address:		
Postcode	Postcode		
City State	CityState:		
Telephone No:	Telephone No:		

Guidance Document on EIA Consultant Registration Scheme

		Fax No.:				
F						
Email		Eg: sarah@gmail.com				
	SECTION B - PAY					
[] Cash		Online payment				
[] Money Order	r ·	Credit Card				
[] Money Order	L .	orealt dard				
[] Bank Draf						
Cheque No :						
Bangi)						
*Applicant is only allow	SECTION C - SELECTION					
	ved to only choose maximum to	wo runctional areas se and scope of studies base on his/her				
		ation, formal training and working experi	ences.			
*Functional Areas	**Area of Expertise	**Scopes of studies	Tick (/)			
	Water quality monitoring	Water quality monitoring and baseline assessment				
	Water quality modeling	Water quality modeling				
	Soil erosion and sedimentation	Soil erosion and sedimentation analysis				
Water Pollution Control		Land disturbance Pollution Prevention and Mitigation Measures (LDP2M2 Plan)				
	Water Pollution Control	Design of waste water treatment plant				
	Technology (sewage /leachate/effluent)					
	,	Performance monitoring				
	Air Monitoring	Air quality monitoring and baseline assessment				
	Air Quality Modelling	Air quality modeling				
	Air Pollution Control	Design air pollution control				
	Technology	Performance monitoring				
Air	Noise and Vibration monitoring	Noise and vibration quality monitoring and baseline assessment				
	Noise and vibration modelling	Noise and vibration modeling				
	Noise and Vibration Pollution	Noise pollution and vibration control				
	Control Technology	design				
	Risk Assessment	Qualitative Risk Assessment Quantitative Risk Assessment				
	Contaminated Land Monitoring	Contaminated land monitoring				
	Process Recovery of schedule waste	Recovery technology and design				
	Schedule waste pollution control technology	Toxic and hazardous waste control technology and design				
Waste Management	Schedule waste management	Handling and management of schedule waste				
-		Collid waste above to the first state				
		Solid waste characterization study				
	Solid waste	Biomass management				
	Cond waste	Solid waste management				

			Laı	ndfill design			
	SECTION D - ACADEMIC QUALIFICATIONS						
*Pleas	se enclosed copies of ce	tificates and official transcrip	t.			1	
I	Name /Course Title	Name of University	y/Ca	ımpus	Period of Study	Year of	Study
						From	То
*Dloor		N E – MEMBERSHIPS OF tificates and official transcrip		ROFESSIO	NAL BODIES		
	Professional Body	Official Abbreviations	L.		embership evel	Le	Current vel ieved
SEC	TION F1 - COMPETE	NCY COURSE FROM INS	STIT	UT ALAM	SEKITAR MA	LAYSIA (E	EiMAS)
No	Name	/Course Title			Date ertified	Certific	ate No.
1	Course for Certified Environmental Professional in The Operation Of Industrial Effluent Treatment Systems (Biological Processes – Activated Sludge Process)						
2	Course for Certified Environmental Professional in the Operation of Industrial Effluent Treatment Systems (Physical Chemical Processes)						
3	Course on Certified Environmental Professional In Bag Filter Operation (CePBFO)						
4	Course on Certified Environmental Professional In Scrubber Operation (CePSO)						
5	Course for Certified Environmental Professional in Sewage Treatment Plant Operation – CePSTPO						
6	Course On Certified Scheduled Waste Mana	Environmental Profesional agement (CePSWaM)	In				
7		vironmental Professional in Mill Effluent (Pond Process	-				

Guidance Document on EIA Consultant Registration Scheme

Guidance Document (JASM).indd 32 19/06/2019 2:09 PM

8	Course on Cert Sediment Control	tified Professional i (CPESC)	in Erosion and			
9	Course on Certi Water Inspector (ified Erosion, Sedin CESSWI)	nent and Storm			
10	Others courses a	Others courses attended organized by EiMAS				
		N F2 - OTHERS Co				
No		Name/Course Title	a copy of certifica	Date	urses alle	Area (Air/Water/Was te) OR Specialist
						Area
		CTION G: ENVIRO				TANT)
		m of Reference (TO				
No	Name of Project	Position	Responsibilities	Dates and Duration	nam	ation Source – ne, company, s, telephone, fax, email
ii. F	Participation in En	vironmental Impact :	Assessment (EIA)	Study minimum	m 5 EIA ==	norts
11. F	агистраноп тп Епу	поппена шраст	Assessment (EIA)	Judy – mimimu	ın ə eia re	μυτιδ
No	Name of Project	Position	Responsibilities	Dates and Duration	nam address	eation Source – ne, company, s, telephone, fax, email
	(attached with a copy of EIA cover report)		(attached brief of your responsibilities and tasks in the study)		`	d with list of EIA am members EIA

Ministry of Energy, Science, Technology, Environment & Climate Change

			T		T
iii) Pa	articipation in Envi	ronmental Assessn	nent or Site Assess	ment	
No	Name of Project	Position	Responsibilities	Dates and Duration	Verification Source – name, company, address, telephone, fax,
					email
D -				Discontinuo	
IV) Pa	rticipation in prepa	iration of Environm	ental Management	PIAN (EIVIP)	
No	Name of Project	Position	Responsibilities	Dates and Duration	Verification Source – name, company, address, telephone, fax, email

v) Inv guide	olvement in prepar lines	ration of any EIA gu	idance documents	or any other en	vironmental and technical
No	Name of Project	Position	Responsibilities	Dates and Duration	Verification Source – name, company, address, telephone, fax, email
vi) S	Study or research i	related to the const	ultant's expertise		
No	Name of Project	Position	Responsibilities	Dates and Duration	Verification Source – name, company, address, telephone, fax, email

Ministry of Energy, Science, Technology, Environment & Climate Change

vii) Pa	articipation in prep	aration of Land Dis	turbance Prevention	n Pollution Miti	gating Measures (LDP2M2)
No	Name of Project	Position	Responsibilities	Dates and Duration	Verification Source – name, company, address, telephone, fax, email
∕ii. P	articipation in envi	ronmental modellin	ng		
No	Name of Project	Position	Responsibilities	Dates and Duration	Verification Source – name, company, address, telephone, fax, email
x. Pa	rticipation in enviro	onmental statistic			
No	Name of Project	Position	Responsibilities	Dates and Duration	Verification Source – name, company, address, telephone, fax, email

	1				
v Part	ticination in onviro	nmental monitoring			
X. Fart	истрацоп пт епупо	innental monitoring			Verification Source –
No	Name of Project	Position	Responsibilities	Dates and Duration	name, company, address, telephone, fax, email
xi. Pari	ticipation in any e	nvironmental discip	oline		Verification Source –
No	Name of Project	Position	Responsibilities	Dates and Duration	name, company, address, telephone, fax, email
\vdash					

	SECTION H - D	ECLARATION	ON	
the EIA Consu	r for * <u>registration/renewal</u> and agree to obsultant Registration Scheme. I certify that t my knowledge.			
*choose related	Applicant's Signature			Date
	SECTION I: R	EFERENCE	S	
Referee 1		Referee 2		
Name :		Name	:	
Address :		Address	:	

E-mail : E-mail :

*Referee have to fill Referee Assessment Form and must be submitted in sealed envelope together with the

No. Tel.

No. Fax

IMPORTANTS-CODE OF PRACTICE

No. Tel.

No. Fax

application

All EIA Consultants are obliged to improve the standing of the environmental impact assessment profession by rigorously observing the following Codes of Practice. Failure to conform may result in suspension or deregistration. All registrants shall:

- ✓ Act professionally, accurately and in an unbiased manner;
- ✓ Strive to increase the competence and prestige of the environmental impact assessment profession;
- Assist those under my supervision (if relevant) in developing their management, professional and environmental impact assessment skills;
- ✓ Not to undertake any job that I am not competent to perform;
- ✓ Not to represent conflicting or competing interests and to disclose to any client or employer any relationship that may influence my judgment;
- ✓ Not to accept any inducement, commission, gift or any other benefit from any interested party or knowingly allow colleagues to do so;
- Not to intentionally communicate false or misleading information that may compromise the integrity of any EIA study; and
- Not to act in any way that would prejudice the reputation of the environmental consultant registration process and to co-operate fully with any inquiry in the event of any illegal breach of this code.

Guidance Document on EIA Consultant Registration Scheme

Please return to the completed form to :

Secretariat EIA Consultants Registration Scheme Assessment Division Department of Environment Ministry Of Energy, Science, Technology, Environment & Climate Change Level 1-4, Podium 2&3, No 25, Persiaran Perdana, Presint 4 62574 W.P. PUTRAJAYA

> 03-88712000 Fax: 03-88891045

> > Web: www.doe.gov.my

APPENDIX 2: FORMAT FOR PORTFOLIO OF WORK

FORMAT FOR PORTFOLIO OF WORK

CATEGORY: CERTIFIED ENVIRONMENTAL PROFESSIONAL IN EIA

PORTFOLIO CONTENTS

- 1. Table of Contents.
- 2. Professional Resume
- 3. Personal Statement/ Professional Philosophy. Briefly indicate your personal mission statement especially related to environmental management.
- 4. Samples* of Work: Samples can be taken from five (5) EIA reports that you have involved.

*Each sample must have the following items:

- a) Cover of the approved EIA Report.
- b) Copy of relevant sections
- c) Your responsibilities and tasks in the study
- d) Verification from team leader or client

APPENDIX 3: FORMAT FOR PORTFOLIO OF WORK FOR SUBJECT CONSULTANT

FORMAT FOR PORTFOLIO OF WORK

CATEGORY: SUBJECT CONSULTANT

PORTFOLIO CONTENTS

- 1. Table of Contents.
- 2. Professional Resume.
- 3. Personal Statement/ Professional Philosophy. Briefly indicate your personal mission statement especially related to the environmental management.
- 4. Samples* of Work: Samples can be taken from any studies or assignments that you have involved.

Each sample must have the following items:

- (i) Copy of relevant sections or summary of work involved
- (ii) Your responsibilities and tasks in the study

APPENDIX 4: FORM B REFEREE ASSESSMENT FORM

EIA CONSULTANTS REGISTRATION SCHEME, DOE MALAYSIA

FORM B: REFEREE ASSESSMENT

APPLICATION FOR REGISTRATION AS AN CePEIA/SUBJECT SPECIALIST/ ASSISTANT CONSULTANT

APPLICANT	:
CATEGORY APPLIED	:
ADDRESS	:
TEL /FAX/ EMAIL	:

Note: This form must be submitted to the DOE directly in a sealed envelope by the referee.

No	DETAILS	INFORMATION
1.	Referee name	
2.	Office address, tel, fax, e mail	
3.	Profession.	
4.	Do you fully understand the criteria and requirements needed to be registered? (Requirements are available in the EIA Consultants Registration Scheme Guidance Document which may be viewed at www.doe.gov.my)	
5.	How long have you known the candidate in his/ her professional capacity?	

6.	Describe your professional relationship with the applicant.	
7.	Do you think that the candidate has met the criteria and requirements?	
8.	Are you satisfied with the applicant's required core competencies? Please make your judgment based on your professional observation on his work such as project management, reports or publication. Please list the projects or documents known to you.	
9.	Do you have any doubt or uncertainty about the candidate when answering the above questions? If yes, please clarify further.	
10.	Based on professional judgement, do you think it is necessary for an interview to be conducted to clarify doubt or uncertainties as indicated in no. 9?	
11.	Please indicate any other observation or professional statement about the candidate.	
12.	Signature & Date	

Thank you for your assistance. Information submitted is strictly confidential. Please submit this form directly in a sealed envelope to:

EIA Registration Secretariat Assessment Division Department of Environment Ministry of Natural Resources and Environment Level 1-4, Podium Block 2&3 Lot 4G3, Precinct 4 Federal Government Administrative Centre 62574 Putrajaya

Attention: skeia@doe.gov.my

Tel: 03-88712000 Fax: 03-88891045

Web: www.doe.gov.my

APPENDIX 5: LOGSHEET

CePEIA REGISTRATION SCHEME

Name	:
Category	:
Registration No	:

EIA LOG SHEET

PROJECT BRIEF (name of project, category of prescribed and activity brief description of activity)	RESPONSIBILITIES/AREA OF STUDY/MANMONTH (e.g : Air quality specialist/ 1 man month	VERIFICATION (Please provide details on company/contact person/address, tel/fax/email & client signature)

Note: Work log sheet should cover your EIA work and other EIA Qualifying Experience

APPENDIX 6: CONTINUOUS EVALUATION FORM

SKIM PENDAFTARAN JURURUNDING EIA- BORANG PENILAIAN **BERTERUSAN JURURUNDING EIA**

A. MAKLUMAT JURURUNDING		
Nama Ketua Pasukan Kajian		
No. Pendaftaran		
Senarai ahli pasukan kajian		
(Ruangan ini diisi sekiranya diperlukan untuk penilaian		
ahli pasukan secara		
berasingan)		
Nama Jururunding EIA / Jururunding Subjek		
No.Pendaftaran		
B. MAKLUMAT LAPORAN EIA / BIDANG RUJUKAN (TOR)		
Tajuk Laporan EIA / TOR		
Tarikh Keputusan Laporan EIA / TOR		

Keputusan Laporan EIA/TOR			
(Tanda yang berkaitan)	Lulus		
	Tolak		
	Disahkan (untuk TOR) Ya Tidak		
C. PENILAIAN KE ATAS JURURUNDING EIA/ JURURUNDING SUBJEK			
Kriteria dinilai (rujuk dokumen panduan penilaian) Etika Kebertanggungjawaban Pengetahuan Kualiti laporan			
D. ULASAN & SYOR PEGAWA	AI PENILAI		
Ulasan keseluruhan			
*Sekiranya terdapat banyak kesalahan serius sila nyatakan di dalam Lampiran			

Syor	Tiada	
	Surat Tunjuk Sebab	
	Surat Peringatan	
	Gantung Pendaftaran	
Tandatangan		
Nama		
Jawatan		
E. ULASAN PEGAWAI PENYEMAK		
Ketetapan	Setuju / Tidak setuju dengan syor	
Ulasan		
Tandatangan		
Nama		
Jawatan		
F. ULASAN SEKRETARIAT SE	KIM PENDAFTARAN JURURUNDING EIA	
Ulasan		
Tandatangan		
Nama		
Jawatan		

APPENDIX 7: CHECKLIST FOR THE NEW APPLICATION

Submission Checklist for CePEIA and Subject Consultant Certification

NO.	Item	Yes/No
1.	APPLICATION FORM (HARDCOPY)	
	Contact details (Address, telephone number, fax & email) and Application Fee	
2.	RECENT PHOTO	
3.	ACADEMIC QUALIFICATION	
	Evidence of education (must be Certified Copies)	
4.	AREA OF EXPERTISE	
	Evidence in the form of certificates or examination results (must be certified copies)	
5.	WORK EXPERIENCE	
	Verification of work experience with signatures of verifiers	
6.	CODE OF PRACTISE	
	Signed statement of ethical practise and agreement to abide by the DOE Code of Ethics and Professional Conduct	
7.	APPOINTMENT OF TWO REFEREES (Details of 2 nominated referees include):	
	Qualification	
	Current position	
	Relationship with the applicant	
	Contact details	
8.	REFEREE ASSESSMENT FORM	
9.	STATEMENT OF CLAIM STATUTORY DECLARATION	
10.	HAS ATTENDED AND PASSED THE INDUCTION COURSE (provide certificate)	
11.	Sample copy of FIVE (5) EIA reports (front page together with list of EIA study team)	
12.	PORTFOLIO OF WORK	
13.	DETAILED AND CURRENT CURRICULUM VITAE	

APPENDIX 8: CHECKLIST FOR RENEWAL REGISTRATION

Submission Checklist for CePEIA and Subject Consultant Renewal

No.	Items	Yes/No
1.	APPLICATION FORM (HARDCOPY)	
	Contact details (Address, telephone number, fax & email) and Application Fee	
2.	Fulfill a minimum of 50hours/year of Continuous Professional Development for the EIA Consultant and 20hours/year for the Subject Consultant.	
3.	CPD Log sheet	
4.	EIA Log sheet	
5.	Copy of related certificates	
6.	Sample copy of FIVE (5) EIA reports/EMP/other related study (front page together with list of EIA study team)	
7.	Signed Continuous Evaluation Process	

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